

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use several sheets if necessary)

37 CFR 1.98(b)

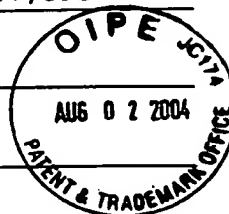
ATTY. DOCKET NO.
3008-1011

SERIAL NO.
09/987,592

APPLICANT
Adam Peter GAWNE-CAIN

FILING DATE
November 15, 2001

GROUP
2161



U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|---------------|---------------|----------|-------|--------------|-------------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| | | DOCUMENT NO. | PUBL. DATE | COUNTRY OR PATENT OFFICE | CLASS | SUB CLASS | TRANSLATION YES NO |
|--|----|--------------|---------------|--------------------------|-------|--------------|-----------------------|
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | | | |
| | AO | | | | | | |
| | AQ | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

| | | |
|----|----|--|
| HT | AT | M. Seltzer et al., "An Implementation of a Log-Structured File System for UNIX", USENIX, 1993 Winter, Jan. 25-29, 1993 |
| HT | AU | Dave Hitz et al., "File System Design for an NFS File Server Appliance", TR3002 Network Appliance, Inc. |
| | AV | |
| | AX | |
| | AY | |

EXAMINER

Hauhlthor

DATE CONSIDERED

12/09/2004

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.